

# President Stony Brook, New York

#### THE SEARCH

Stony Brook University, a member of the Association of American Universities (AAU), one of the top research-intensive institutions in the United States and a flagship within the State University of New York system, invites applications and nominations for the position of President. The institution seeks an outstanding leader who will guide a large, vibrant university in its mission of providing education of the highest quality, executing research and intellectual endeavors of the highest international standards, providing state-of-the-art innovative health care, and providing leadership for economic growth, technology, and culture. The President serves as the chief executive officer of the campus and reports directly to the Chancellor of the SUNY system. Leading a staff of experienced professionals, the President works closely with the Stony Brook Council, the Stony Brook Foundation, the University Senate, and the University Council. Fostering a commitment to scholarly research, and academic excellence, Stony Brook's 2018-19 operating budget was \$2.7 billion.

Stony Brook University was ranked No. 25 among public universities in the *Wall Street Journal/Times Higher Education*'s 2020 U.S. College Rankings, which also listed Stony Brook University as the No. 1 public university in New York State. *U.S. News & World Report* ranked Stony Brook in the top 100 among national universities and top 40 among public universities in its 2020 Best Colleges listing, and *Money* classified Stony Brook University as one of the top 50 "Best Value" Colleges in the nation.

Stony Brook University was ranked No. 1 among AAU universities and highly selective public universities for social mobility, and No. 3 among all colleges and universities nationally, presenting a unique opportunity for a president to lead a major public research university that has demonstrated a commitment to research and discovery at the highest levels while also serving as a catalyst for economic mobility to a large number of students. Recent analysis (June 2019) found that Stony Brook University's annual economic impact on Long Island totaled an impressive \$7.23 billion in increased output, \$2.39 billion in earnings, and 54,637 local jobs. In addition, the University's graduates increased aggregate economic output worldwide by \$15.3 billion, supporting an impressive 99,815 jobs.

As Long Island's largest single-site employer, Stony Brook has over 15,000 full- and part-time employees, including more than 2,700 faculty. The University enrolled 26,814 students in fall 2019 — 17,909 undergraduate students and 8,905 graduate and professional students, with international students constituting 17 percent of Stony Brook's total student enrollment. Stony Brook co-manages the Brookhaven National Laboratory for the U.S. Department of Energy. Stony Brook Medicine, Long Island's premier academic medical center, encompasses five health sciences schools, three hospitals, and 120 community-based health care settings.

The President will be a key voice and powerful leader in promoting a culture of academic excellence and national recognition. This position requires an enthusiastic, articulate, and visionary leader who will join the senior leadership team to enhance academic excellence across the University's 12 colleges, multiple research centers and institutes, and across the SUNY system. Stony Brook's role as a co-manager with Battelle of Brookhaven National Laboratory is a critical responsibility that enables deep and groundbreaking research partnerships. It is important that the next President continue to help that partnership to flourish. The President will also lead Stony Brook as it builds on its current foundation to support future growth and strengthen Stony Brook's role in the economic renewal of New York State. The successful candidate will be a visible external advocate for the strength of the academic enterprise and its resulting influence on the health of the state while broadening the campus's national and global reach.

In its next President, Stony Brook University seeks a demonstrated visionary and strategic leader with administrative experience and with a thorough understanding of and an uncompromising commitment to academic distinction. The next President must have a proven track record of incorporating shared governance and collaboration with faculty, students, and staff as a fundamental tenet of their leadership style. The President will work to promote a rich and diverse intellectual community by fostering talent within the University and recruiting and retaining the most exceptional scholars, attracting and supporting a diverse group of talented and accomplished students, and leading the campus as it develops the institution's next strategic plan as a shared academic vision. The successful candidate must demonstrate an understanding of strategic paths to enhance academic distinction, experience with university finances and budgeting, collaboration across units and levels, and the interpersonal skills necessary to manage strategic change and lead a broad academic mission. The successful candidate should also be able to leverage the role of Stony Brook within SUNY, the largest higher education system in the nation, and to take advantage of the unique qualities of the system.

Stony Brook University has engaged Isaacson, Miller, a national executive search firm, to assist in conducting a global search for its next President. All inquiries, nominations, and applications should be directed to the firm as described on page 7 of this document.

#### **OPPORTUNITIES AND CHALLENGES**

As the chief executive officer of the University, the next President will lead the institution at a critical time in its history and will have a profound impact on the future of the institution. At its core, the presidency is about leadership. The new President will provide strategic and operational direction to Stony Brook's increasingly diverse constituencies, complex environment, and foundation of excellence. The next President must also recognize and empower the visions and talents of faculty, staff, and students in shaping the direction of the institution. Addressing these opportunities will require boldness, diplomacy, openness to different viewpoints, solid analytic and facilitation skills, creative thinking, and an ability to transform consensus about purpose into meaningful action. The institution is well positioned for the future, and the President must be prepared to assess, understand, and lead Stony Brook as it confronts these opportunities and challenges moving forward:

Continue and deepen the University's success in providing social mobility to its students and engage the University community in broadening its substantial commitment to diversity and inclusiveness.

A 2017 study by five economists noted that Stony Brook University was among the top 10 colleges and universities in the nation whose students begin college at the bottom fifth of income distribution and go on to earn in the top fifth. The faculty and administration of Stony Brook takes as much pride in this as

they do their standing as a major research university and member of the American Association of Universities. The institution has consciously worked to assure access to students from the breadth of economic, racial, and ethnic groups and has developed programs and studiously driven themselves and their students to assure excellent retention and graduation rates for all students.

It is expected that the next President will embrace the cultural difference of students, staff, and faculty from diverse backgrounds and will demonstrate a personal commitment to maintain a campus climate that provides a strong sense of community and inclusive excellence. The President will be expected to support Stony Brook as a crucial engine of social mobility and vocalize its importance among the faculty, student body, and the staff. A significant task moving forward will be to work with the provost, the deans, and the faculty to recruit and retain scholars that broaden the demographic base of the faculty. By the public expression of the deep value of a diverse community, the President will further the goals of excellence through comprehensive and evidence-based inclusion strategies.

# Propelling excellence in research and scholarship to move from an outstanding to a preeminent research institution.

Stony Brook has built an exceptional record of consequential research and received recognition for the development of a strong faculty over its relatively short history as a university. It grew from a small institution emphasizing teacher preparation to membership in the prestigious AAU in just over four decades. It has continued its outstanding progress in its scholarly and research endeavors over the last several decades, bolstering its strength in the STEM fields and also developing a substantial reputation in other areas of the University including multiple disciplines in the social sciences, arts, and humanities. The University has recruited and retained an excellent faculty, made strategic and competitive investments, and built interdisciplinary collaborations across academic units.

To become an even greater university, Stony Brook needs to strengthen its already impressive efforts to attract and retain exceptional faculty, achieve even greater external recognition for its nationally and internationally prominent faculty, and build even stronger graduate programs. Working closely with faculty, staff, and students, the President will need to outline measurable objectives, including identification of research programs, disciplines, and graduate programs that can achieve international status and, by setting priorities and inspiring confidence, lead the academic enterprise towards those objectives. It is vitally important that the President view the University as an intellectual whole in which the value of each unit is not merely measured in a budgetary sense, but in a more holistic view of the prime objective: providing an excellent and well-rounded liberal arts education coupled with excellence in research and scholarship.

The University is well positioned with its oversight, responsibilities, and nearness to Brookhaven National Laboratory; its cooperation with Cold Spring Harbor Laboratory; and its proximity to New York City, to become one of the preeminent research institutions in the U.S. and, indeed, the world. The President, in partnership with the Provost, the Senior Vice President for Health Sciences, and the Vice President for Research, will play an essential role in building and maintaining the quality, diversity, and reputation of Stony Brook's faculty as they pursue research opportunities in a purposeful way that build upon the University's strengths.

# Solidify, expand, and broadcast Stony Brook's position as an exceptional institution as it moves toward the next stage of prominence and excellence.

It is not enough to excel. It is equally important that local, state, national, and international leaders recognize Stony Brook University for its leadership in higher education and its contributions to society. The University has made great strides in this area and anticipates that the President will continue to

support strong and broad communications about Stony Brook. The President must also deliver the message that the University provides and supports a high-quality education, that it promotes superior basic and applied research that has a significant impact in an efficient and effective manner, and that it works with the governmental, corporate, and educational sectors throughout the state, and the nation, to share its knowledge and expertise.

It is necessary for the President to develop a strong relationship with and be a visible representative of the University to the people of the Long Island community and New York State and to understand the symbiotic connection between the institution and its broader community. In addition, the President will create strong lines of communication with public and civic bodies, including domestic and international business and professional leaders, governmental research agencies, the media, and opinion leaders. The President will also establish relationships with national higher education organizations, foundations, corporations, and business organizations, and will build ties with organizations that fund public research and scholarship. In brief, the President has a public, civic leadership role to fulfill and is expected to encourage members of the administration and the faculty to be active in their professional societies, on the University campuses, in the local community, and across the state and nation. With them, the President will work to deliver the message that Stony Brook is contributing, with excellence, to the future of society.

# Shepherding a culture of trust, communication, and transparency across the University community.

The President is expected to maintain an open dialogue with the University community about the direction and vision of the University. Shared governance is an important facet of Stony Brook, and the President must embrace these principles and practices and lead through collaboration and inclusiveness. By leading this open dialogue, the President will enable all University stakeholders to work together to achieve the institution's mission at a time of growing competition in the higher education marketplace.

The University is primed to receive an engaged, consultative leader who addresses issues directly and decisively and confidently approaches challenges, operates with an optimistic attitude, and seeks creative solutions, while frequently and openly communicating with faculty, staff, students, and alumni. The President should focus attention on continuing to strengthen the lines of communication and trust between the administration and support strong collaborative relationships across the entire University.

# Intensify cooperation across the campus, promoting an attitude of "one university" to further the educational, scholarly, and administrative goals of the institution.

We are living in a world where the solutions to major issues do not emanate from one discipline. At the same time, we live in a university environment where departments and many colleges are historically focused on a disciplinary perspective and approach. Stony Brook has put considerable effort into building interdisciplinary academic and research programs over the past few years. Further innovation requires that such work continue and expand to other research, academic, and administrative areas.

There are good relations among academic units, and there is considerable interaction across disciplines. Interdisciplinary research occurs in numerous areas led by individual scholars as they seek to be on the forefront in their own work and seek out partners where cooperative work moves them toward achieving goals that would be impossible to reach in isolation.

There is an eagerness on campus for the next President to encourage even more collaborative work, to lead the way to removing barriers to cooperation, and to encourage all faculty and staff to view the University, its mission, and all its work in a manner where everyone treats Stony Brook as "one university."

Comprehending the positive movement and supporting the rapid expansion of the role of the University in providing health care to the Long Island community and research that will promote improved health nationally and internationally.

Stony Brook Medicine has shown tremendous growth in facilities and scope and has plans for additional expansion in the near future. A new Medical and Research Translation Building devoted to imaging, neurosciences, cancer care and cancer research, and a 10-story Hospital Pavilion, including a Children's Hospital, are set to open in November. The University acquired Southampton Hospital in 2018, with 125 beds, including a Heart and Stroke Center, Breast Health Center, the Center for Advanced Wound Healing, and 32 satellite care centers throughout the South Fork of Long Island. In addition, the University has recently acquired Eastern Long Island Hospital with 90 beds and over 20,000 annual clinic visits. There is ongoing discussion about further acquisitions which must be balanced by the need to maintain the quality of existing programs.

With its remarkably talented faculty and physicians, strong clinical partnerships, and current breadth of resources, Stony Brook medicine must continue to serve as a leader amongst academic medical centers through the development of innovative education, discovery, and clinical care that will dramatically and positively improve health. As with most medical centers, the challenges that the next President will need to be aware of include continued growth of the medical faculties, the evolving partnership between the School of Medicine and the Clinical Practice Management Plan, the need for increased cooperation between all the health-related colleges, health care market and regulatory pressures, the need to continue to refine processes within the hospital setting, and the growth of basic research through multiple disciplines on campus.

As market pressures from other major health care organizations continue to squeeze health care dollars on Long Island, the incoming President, in concert with the hospital and medical school leadership, must support the development of a strong clinically integrated network of health care institutions and continued growth in the footprint of where Stony Brook Medicine services are offered. Ensuring a significant presence for Stony Brook Medicine throughout Long Island and beyond in the coming years is critical for Stony Brook's ability to maintain a vibrant and thriving health care community.

Setting a path that demonstrates a clear understanding of the complex financial management of a large public institution, including oversight of the University's finances and budget, and generation of revenue streams to support the University's academic mission.

Developing a clear sense and understanding of the budgetary environment in New York, as well as the needs of maintaining high-quality academic programs, is one of the President's main priorities. The next President will need to grapple with and grasp the financial realities of public higher education which includes balancing in-state, out-of-state, and international enrollment, as well as leading toward more efficient ways of delivering education in the context of supporting an intellectually diverse institution.

The President will guide vice presidents, deans, and faculty leaders in the exploration and creation of new revenue streams which will be critical to maintaining affordability for students and their families while continuing the expansion of research capabilities for faculty and students and overall support for graduate students. The institution will need to address methods that encourage entrepreneurship within a uniquely situated university that prides itself on having an environment of significant intellectual capacity and scholarship. In a transparent fashion, the President will need to face the current and future fiscal environment of higher education and involve the Stony Brook community, along with other stakeholders, in discussions and actions on moving the University forward on its upward trajectory.

Stony Brook has a complex administrative span including its massive research infrastructure that is supported by external funding, a major Medical Center and growing health care services with multiple hospitals and clinics spread throughout the Long island community, a developing research park, and international centers like the Turkana Basin Institute and SUNY Korea. The President must set a tone of accountability for the administration that cascades to the colleges, hospitals, and functional units and through leaders in every area, academic and non-academic, of the institution.

While Stony Brook has taken steps to rein in costs and operate more efficiently, it has been less active in discovering new sources of funding and must undertake serious efforts to identify future recurring resources, including "out-of-the-box" ideas, to allow the institution to continue to enhance excellence in all aspects of the University's mission.

### Fostering and leading a culture of philanthropy that will secure Stony Brook's long term future.

The last eight years have been the most prolific fundraising era for the University, and the next President will harness the momentum of increased engagement by alumni and friends of the University. Stony Brook began a campaign in 2011 with a lead gift of \$150 million from James and Marilyn Simons. The University completed the extraordinarily successful comprehensive campaign in 2018, exceeding the goal of the campaign by 5 percent and raising over \$637 million. The campaign attracted gifts from more than 47,900 individual donors, of which 60 gave at least \$1 million. The next President will be expected to plan and then execute a major campaign.

Stony Brook University needs its President to embrace philanthropy and to advance a culture of philanthropy, on campus and off, so that every member of the community understands the importance of giving back. The President will work with the Office of Advancement to plan the case for the next campaign. The President will be expected to engage major donors personally and to work with the advancement leadership team and the Stony Brook Foundation trustees on executing this vision. The campaign will be critical to ensuring a margin of excellence for the University and to helping propel Stony Brook into the ranks of the top 20 public research universities. The University recognizes that its future is dependent on securing major funding for continued growth of quality and the drive toward preeminence. This recognition was demonstrated in the last campaign by substantial participation by faculty and staff.

#### ANTICIPATED CANDIDATE ATTRIBUTES

No single candidate will possess in full every useful experience, but the search committee ideally seeks a person with the following qualifications:

• Excellence in academic and administrative leadership at the level of president, provost, and/or other senior administrative position of a major university, or equivalent organization, such as a

high-level leadership position in government or business, with a track record of success in a large, complex environment;

- A deep, demonstrated understanding of—and commitment to—the values and the mission of public higher education;
- Demonstrated ability to craft a compelling vision for public higher education that emphasizes the importance of social mobility, transformative research, innovative pedagogy, economic impact, entrepreneurship, and external engagement coupled with a demonstrated commitment to the needs and aspirations of all stakeholders;
- A devotion to the highest ethical standards, with personal and professional integrity above reproach;
- A deep commitment to the values of shared governance, collaboration, and transparency in decision-making;
- An abiding interest in the success, safety, and support of students from all backgrounds, and a demonstrated commitment to their development and growth;
- A record of impact in creating a more diverse, equitable, and welcoming community; multicultural competence and a demonstrated awareness of, engagement with, and sensitivity to, all people and the ability to foster, lead, and create a supportive climate for faculty, staff, and students;
- Political acumen, and a willingness to engage with public and civic leadership at all levels;
   experience fostering successful town-gown relations and partnering with local and community leaders toward long-term goals and development;
- A collaborative, transparent, and inclusive leadership style that is respectful of the views and contributions of all members of the Stony Brook community;
- Exceptional oral and written communication skills and effective use of the broad range of media;
- A record of supporting excellence in leading-edge, interdisciplinary, high-impact research and the furthering of translational scholarship along with an understanding of the need to position the institution to seek government funding for special research initiatives
- An understanding of the critical and disruptive academic, political, and business forces facing higher education and the ability to implement successful strategies to address them; and
- Proven success and appetite for fundraising as well as the ability to represent Stony Brook University to a wide range of constituents and to engage alumni.

#### TO APPLY:

Nominations, applications, and inquiries are being accepted for the position. Consideration of candidates will continue until the position is filled. Candidates should submit, in confidence, a curriculum vitae and cover letter.

Communications, nominations, applications, and inquiries concerning this search should be directed to Isaacson, Miller via:

www.imsearch.com/7264

Michael A. Baer, Partner Ernest Brooks, Senior Associate Isaacson Miller, Inc.

Stony Brook University/SUNY is an affirmative action, equal opportunity educator and employer. The University is committed to the creation of a diverse and inclusive campus climate. Stony Brook University encourages protected veterans, individuals with disabilities, women, and minorities to apply.

#### **APPENDIX**

Stony Brook University, Stony Brook, New York: An Overview

#### **A Brief History**

Stony Brook University, widely regarded as a SUNY flagship institution, was established in 1957 as a college for the preparation of secondary school teachers of mathematics and science. The first campus was in Oyster Bay, Long Island, on the grounds of a former Gold Coast estate. In 1962, a new campus was built near the historic village of Stony Brook on land donated by local philanthropist Ward Melville.

The construction of the new campus was started at about the time that a commission appointed by Governor Nelson A. Rockefeller issued a report recommending that the state support a major university on Long island. The campus was designated a university center in 1960. The first President, Dr. John Lee, was charged with the establishment of a university encompassing a comprehensive curriculum including the sciences, liberal arts, and a graduate school. The new campus opened in the fall of 1962 with 780 students. By the fall of 1964, the University was offering 242 courses in its College of Arts and Sciences and in the College of Engineering.

Under succeeding presidents, the campus grew dramatically in size and in intellectual capacity. In the 1960s Stony Brook University began its recruitment of outstanding research scholars, particularly in the sciences. Planning and construction soon began for new Schools of Medicine and Dental Medicine and today the major Medical Center also houses a Hospital, the Schools of Health Technology and Management, Public Health, Nursing, and Social Welfare, as well as the Long Island State Veterans Home.

The University has dramatically expanded its scope and mission well beyond its initial emphasis on the sciences and now is a truly comprehensive institution strong in the arts, humanities, and social sciences, as well as healthcare and the STEM areas. By the turn of the 21st century, Stony Brook University had achieved recognition and was offered membership in the Association of American Universities. AAU is composed of 62 of America's leading research universities. Their members earn the majority of competitively awarded federal funding for research that improves public health, seek to address national challenges, and contribute to the county's economic strength while educating and training tomorrow's visionary leaders and innovators.

#### **Institutional Data at the University**

The Office of Institutional Research, Planning, and Effectiveness (IRPE) has responsibilities to assure data quality, maintain official university statistics, collect and analyze institutional data for decision support, and conduct research studies on issues of special interest. This information supports institutional management, planning, and decision making; and meets a variety of state and federal government mandates. IRPE provides information to the University community broadly through its website, which contains an extensive Fact Book of official data on enrollment, graduate and retention rates, financial aid, finance, human resources, and research, as well as numerous reports and links to additional internal and external resources.

IRPE provides information in an accurate, timely manner and in a useful, understandable format. Using University and national data sources, the office analyzes and reports information to internal and external constituencies on both a recurring and ad hoc basis. To ensure transparency to its internal and external constituents, its data provides a rich source of University information. While specific sections of this source will be referenced in the remainder of the appendix, the entire array of material can be found at: <a href="https://www.stonybrook.edu/commcms/irpe/">https://www.stonybrook.edu/commcms/irpe/</a>.

#### **Office of the President**

The President serves as the Chief Executive Officer of the campus and maintains a publicly visible role both internally and with the external constituency of the University. It is anticipated that the President be visible in the higher education and policy communities nationally, as well as an advisor to the Chancellor of the State University of New York System. In support of these roles the University is advised by several formal bodies discussed in the governance section of this document and by numerous other informal groups.

The following vice presidents and senior staff report to and support the President in assuring the proper management and oversight of the University:

- Provost and Senior Vice President for Academic Affairs
- Senior Vice President for Finance and Administration
- Chief Deputy to the President and Senior Vice President for Government and Community Relations
- Senior Vice President for Health Science Center and Dean, School of Medicine
- Senior Vice President for Information Technology and Enterprise Chief Information Officer
- Vice President for Advancement
- Vice President for Marketing and Communications and Chief Marketing and Communications Officer
- Vice President for Research/Associate Vice President for Brookhaven National Lab Affairs
- Vice President for University Enrollment Strategy and Relationship Development
- Vice President for Student Affairs and Dean of Students
- Assistant Vice President of Audit and Management Advisory
- Director of Athletics
- Senior Managing Counsel and Senior Adviser to the President

Additionally, the Chief Diversity Officer, the Office of Institutional Diversity & Equity, HeathierU, Conferences & Special Events, the Ombuds Office, and the Office of Government & Community Relations report to the Chief Deputy to the President and are considered part of the Office of the President.

#### Governance

The <u>Board of Trustees</u> is the governing body of the State University of New York. It consists of <u>18 members</u>, 15 of whom are appointed by the Governor, by and with consent of the New York State Senate. In addition, the president of the Student Assembly serves as student trustee and the presidents of the University Faculty Senate and Faculty Council of Community Colleges serve as ex-officio trustees. The SUNY System is committed to the principles of shared governance.

The Stony Brook Council serves as an oversight and advisory body to the campus and to Stony Brook's President and senior officers. In accordance with New York State Education Law, the Council comprises ten members; nine who are appointed to seven-year terms by the governor of the State of New York, and one student member elected from among the campus's students. The Council, subject to state regulations and SUNY Board oversight, has responsibility for recommending to the SUNY Trustees candidates for appointment to the position of President of Stony Brook University; and for, among other areas, exercising the following powers: naming of building and grounds; adoption of traffic and parking regulations; approval of student codes of conduct; reviewing of plans to close or open a branch or extension campus; reviewing of plans to eliminate, other than by administrative restructuring of existing

resources, or open a school, decanal unit, or other similar multi-departmental division within an institution; reviewing of plans to purchase or sell certain real property; and, reviewing of plans to construct or demolish a student residential facility.

The <u>University Council</u> is comprised of the Deans, Vice Presidents, and the University Senate President. The Council was created to ensure that senior academic leaders and senior administrators communicate and have up-to-date information on key issues facing the University. It serves as a key body in the formation, integration, and implementation of strategic plans.

The <u>University Senate</u> is the primary academic governance body and represents the faculty, staff, and student body. It is composed of elected and appointed Senators. It is advisory to the Stony Brook University President and the Provost in most matters but has specific independent decision-making functions related to governance and a number of other academic issues. The Senate and its standing committees meet monthly, and top campus leadership, including the President, regularly present at Senate meetings.

The <u>Undergraduate Student Government</u> and the <u>Graduate Student Organization</u> are key campus governing bodies that ably advance the interests of Stony Brook students.

#### **Academics**

## *Undergraduate Experience*

Stony Brook University is a place where undergraduate education matters, and faculty and administrators strive to provide for all undergraduate students an exceptional academic experience that prepares them well for the next stages of their lives. Undergraduates at Stony Brook can take classes in eight of the 12 colleges and schools at the University and have access to more than 200 major and minor degree programs and an opportunity for exciting research experiences, experiential learning, vibrant campus life, career connections, and leadership opportunities, all in the effort to produce graduates who improve the world. You can view a full list of undergraduate programs at this link.

#### Honors College

Stony Brook's Honors College is unique in that it has a special curriculum for honors students, independent of their chosen major area of study. Small and highly selective, its students are housed together and receive the individual attention and small seminar courses typically found only in small colleges while enjoying the vast range of academic opportunities available only at a major research university. Current students are enrolled in 40 different majors ranging from Anthropology, Business, Chemistry, English, and History to Mathematics, Music, Physics, Political Science, and Psychology.

#### *Graduate Experience*

Stony Brook University is renowned as a national and global center for advanced study across the range of academic disciplines. The University offers graduate programs in forty-eight disciplines and trans disciplines, covering the Arts and Sciences, Engineering, Business, Journalism, Health, and Medicine, as well as Fine Arts. Partnerships with Brookhaven National Laboratory and Cold Spring Harbor Laboratory enable the University to offer unique opportunities for students to become involved in leading-edge research and scholarship.

#### **Students**

Stony Brook is home to 26,814 students (17,909 undergraduate and 8,905 graduate and professional students) who hail from 53 states and U.S. territories, and over 100 countries outside the U.S. New York residents comprise 80% of undergraduates and 73% of graduate students, while 14% of undergraduates and 22% of graduate students are from other countries. Students from other U.S. states and territories represent 6% of undergraduates and 5% of graduate students.

Student educational and economic outcomes are central to Stony Brook's mission and values. 94% of recent bachelor's degree recipients (as of fall 2016) are either employed or enrolled in graduate or professional school. According to PayScale.com, a Stony Brook education yields a \$500k return on investment over a 20 year period. The most popular undergraduate majors at Stony Brook are Biology, Psychology, Business Management, Health Science, Computer Science, Applied Mathematics and Statistics, Economics, Biochemistry, Mathematics and Mechanical Engineering. In 2019, 10 Stony Brook Students were awarded Fulbright U.S. Student Program scholarships—an institutional record.

#### **Faculty**

Over 2,700 talented teachers, researchers, scholars, and clinicians are members of Stony Brook's faculty, with almost three-quarters of them employed full-time. Of these, over 1,650 are non-medical faculty and the remaining 1,100 hold appointments in the Renaissance School of Medicine. About a thousand of all faculty members are tenured or on tenure track. Fifty-six percent of the full-time faculty are men and 44 percent are women; just under two-thirds of the faculty are white, one-sixth are Asian, and one out of thirteen come from race or ethnicity groups underrepresented in higher education.

Stony Brook's faculty is among the most distinguished in the country. They are known for their outstanding research, scholarship, and creative activity. Faculty members are the recipients of many national and international awards for their research, creative activity, and teaching. One hundred thirty-nine faculty members hold awards, fellowships, and memberships included in the National Research Council list of highly prestigious awards, including 13 faculty members who are members of the National Academy of Sciences, the National Academy of Engineering, and National Academy of Medicine. Four faculty members from Stony Brook University's School of Marine and Atmospheric Sciences have been recognized for their contributions to the Intergovernmental Panel on Climate Change, which was awarded the Nobel Peace Prize along with former Vice President Al Gore for efforts to control global warming.

#### **Diversity**

Stony Brook University is one of the most diverse research universities in the country. Among undergraduates 51% are men and 49% are women; among graduate and professional students, 43% are men and 57% are women. Five percent of undergraduates report having a disability, about 1.5% of students report a non-binary gender identity, and just over one percent are veterans. Just over a third (35%) of all undergraduate and graduate students enrolled in fall 2019 are white, and the remaining students identify as a wide range of races and ethnicities, including 21% Asian, 18% underrepresented minority (11.2% Hispanic and 6.4% Black or African American), 17% nonresident alien students; with all other groups representing 9%, including those identifying with more than one race. The University is also socio-economically diverse, with a third of undergraduates receiving Pell grants. Perhaps more importantly, students from underrepresented backgrounds are remarkably successful at Stony Brook. Since 2014, Stony Brook has raised the 4-year graduation rate for undergraduates by 17 percentage points and eliminated equity gaps between students from underrepresented minorities and white students. Stony Brook is one of the few universities in the U.S. where graduation rates of students receiving Pell grants exceed graduation rates of students not receiving Pell grants.

Stony Brook's tremendous successes in promoting diversity are apparent among the University's many recognitions. Stony Brook received the 2018 Higher Education Excellence in Diversity (HEED) Award — a national honor recognizing U.S. colleges and universities that demonstrate an outstanding commitment to diversity and inclusion — from *INSIGHT Into Diversity* magazine. In 2019, *U.S. News & World Report* ranked Stony Brook #38 in the country among national universities for its campus ethnic diversity.

Stony Brook is a global leader in working for gender equity. It is one of ten universities across the world that serves as a UNWomen HeForShe <u>10x10x10 IMPACT Champion</u>. Working with ten global companies and ten countries, IMPACT Champions have all made individual, sectoral, and joint commitments to strive for gender equity and to work within their sectors to help others advance. The most recent <u>Impact Report</u> highlights Stony Brook's Women in Science & Engineering program.

#### Research

Stony Brook University is a powerful incubator of research innovation that is changing the world. As a member of the Association of American Universities, Stony Brook University has been recognized as one of the nation's premier research institutions. The University houses <u>numerous research centers and institutes</u> across many disciplines ranging from the biomedical sciences, the social and behavioral sciences, the arts and humanities, STEM areas, and the environmental sciences, among others. The University had cumulative expenditures of \$192 million from externally funded sources supporting research in 2019, \$155 million of that from federal sources. Faculty in the School of Medicine, College of Arts and Sciences, and College of Engineering and Applied Science bring in the greatest amounts of research dollars. <u>Detailed metrics on research</u> are available by unit. Click <u>here to view a sampling of some of the most recent research</u> by distinguished Stony Brook faculty.

The University co-manages <u>Brookhaven National Laboratory</u>, which is affiliated with the United States Department of Energy. Brookhaven National Laboratory delivers discovery science and transformative technology to power and secure the nation's future. One of ten national laboratories overseen by the Department of Energy, Brookhaven Lab is managed for the Office of Science by Brookhaven Science Associates, a partnership between Stony Brook University and Battelle.

The Stony Brook Research and Development Park, located on 246 acres adjacent to the main campus, has been designed to support a community of innovators who build solutions to society's most pressing problems, leveraging Stony Brook's research strengths in three convergent areas: energy, information technology, and biomedical technology. The R&D Park has state-of-the-art facilities that provide resources to research scholars, entrepreneurs, and users from academic, corporate, and government sectors. Two new buildings (the Institute for Discovery and Innovation in Medicine & Engineering and the Innovation & Discovery Center) will soon join the existing Advanced Energy & Research Technology Center and the Center for Excellence in Wireless and Information Technology.

Stony Brook University is a trailblazer in integrating research and undergraduate education: it was one of the first research universities in the country to establish an office for the specific purpose of promoting undergraduate research and creative activity and offers many programs that support undergraduate research efforts. The Office of Undergraduate Research and Creative Activities (URECA) programs have included: the Battelle Summer Research Program, the Beckman Scholars Program, Research Experiences for Undergraduate Site Programs, Howard Hughes Medical Institute Undergraduate Research Programs, and the URECA Summer Research program. URECA provides support to Stony Brook students engaged in faculty-mentored research and/or creative activities in all disciplines.

#### Healthcare

Stony Brook Medicine integrates and elevates all of Stony Brook University's health-related initiatives: education, research, and patient care. It includes five Health Sciences schools — Dental Medicine, Health Technology and Management, Medicine, Nursing, and Social Welfare — as well as Stony Brook University Hospital, Stony Brook Southampton Hospital, Stony Brook Children's Hospital, Stony Brook Eastern Long Island Hospital, and more than 120 community-based healthcare settings throughout Suffolk County. Stony Brook Hospital, a 603-bed hospital, is expanding with a new 225,000 square-foot, 10-story Hospital Pavilion, including a new Children's Hospital on four floors this November. Stony Brook Hospital provided over 200,000 patient days and 225,000 outpatient visits in the last fiscal year. It has been named as one of "America's 100 Best Hospitals" for 2019 by Healthgrades based on clinical outcomes, as well as one of America's 100 Best Hospitals for cardiac care, coronary intervention, and stroke care.

The educational programs in Stony Brook Medicine enroll almost 4,500 students in fall 2019, including 730 medical students, 205 students in general and advanced dentistry, almost 1,500 students in undergraduate and graduate nursing programs, almost 1,300 students in allied health programs, and over 600 students in social work and social welfare programs. Several of the programs in Stony Brook Medicine, including the Renaissance School of Medicine, the Physician Assistant program, and the School of Social Welfare, are highly ranked by U.S. News and World Report.

Facilities for research at Stony Brook Medicine are growing dramatically with the opening of a new eight-story, 245,000 square foot building this fall to support research for understanding the basis of human disease and scientific discovery that will be translated into clinical research leading to FDA-approved healthcare diagnostic and treatment options. Stony Brook researchers undertake innovative investigations focused on a diverse range of subjects — from clinical training, to infectious disease, to molecular medicine in numerous disciplines. Academic and Research Centers at the institution invite collaboration and interaction between students and researchers, among scientific disciplines, and across the theoretical, scientific, and clinical disciplines.

Stony Brook is also home to the <u>Long Island State Veterans Home</u>, a 350-bed, skilled-nursing facility that has served over 10,000 veterans, their partners/spouses, and gold star parents. The Home has the highest occupancy rate of all nursing homes in New York State and serves as a teaching facility for doctors and healthcare providers in training. It provides skilled-nursing care, adult day health care, and short-term rehabilitation services.

#### **Arts and Culture**

Enriching the campus and surrounding community with a variety of exhibitions, lectures, performances, and cultural activities, Stony Brook University provides students and community members with an outlet for artistic expression and international cultural exchange. The Staller Center for the Arts houses a professional performing arts center that attracts performers from across the globe. The Department of Music offers over 300 performances by a wide array of ensembles, including the esteemed Stony Brook Symphony Orchestra and the resident Emerson String Quartet.

The Department of Art features work by faculty and students in the 5,000 square-foot Paul W. Zuccaire Gallery, in addition to several exhibitions annually by professional artists. Each summer, the Stony Brook Film Festival attracts thousands of film goers to see the best in new independent films. The Charles B. Wang Center, opened in 2002, celebrates Asian and American cultures, and is dedicated to presenting the public with a multifaceted, intellectually sound, and humane understanding of Asian and Asian American cultures, and their relationship to other cultures. It runs an array of programs, including celebrated art exhibits, that bring in members of the campus and surrounding community.

### **Student Life**

The Division of Student Affairs is committed to the success of each student. In partnership with others, the Division advocates and provides leadership to cultivate the educational success and personal development of students by offering a wide variety of services, activities, and learning opportunities. The Division prepares and empowers students to engage fully as active learners, responsible leaders, and contributing members of a diverse and dynamic campus community and global society. Student Affairs commits itself to transforming lives through creating a safe, inclusive, and vibrant learning community, and meets these commitments by providing the highest standard of services, programs, and advocacy for students. Departments include Athletic Bands, Recreation and Wellness, Campus Residences, Career Center, Center for Prevention and Outreach, Commuter Student Services—Off Campus Living, Counseling and Psychological Services, Stony Brook Union, Student Activities Center, Interfaith Center, LGBTQ\* Services, Multicultural Affairs, Student Accessibility Support Center, Student Engagement & Activities, Student Health Services, and Veterans Affairs.

Known for its unique approach to mental health, binge drinking, and bystander intervention, the Division runs award-winning programs like Green Dot and Red Watch Band and has recently launched a new initiative, the <u>CARE Team</u>, which treats student problems in a holistic way and offers resources to both students and faculty. The Division oversees the greatest number of residential housing units in the SUNY System and will soon open a new Student Union that will bring together student services in a one-stop arrangement and allow for more room for student clubs and organizations. The Union's new UNITI Center will join the campus LGBTQ\* Center as a special place for affinity groups.

#### **Athletics**

Stony Brook's 18 NCAA Division I teams include football, men's and women's soccer, men's and women's basketball, men's and women's lacrosse, women's tennis, women's swimming and diving, men's and women's cross country/track and field teams, and softball and baseball. Stony Brook competes in intercollegiate athletics as a member of the America East Conference in all sports except for football (Colonial Athletic Association) and women's tennis (Missouri Valley Conference). Following an historic 2018-19 season, Stony Brook was awarded the Commissioner's Cup, which recognizes the strongest athletic program in the America East Conference.

Stony Brook Athletics supports the fundamental belief that students possess the ability to change the world and that it is a responsibility to partner with campus leadership and faculty to support student athletes in pursuit of their dreams. The power of intercollegiate athletics is significant in that its reach extends deep within the hearts and minds of those who are connected to Stony Brook. It also provides a unique pathway for the University to spread its message to a much broader audience. Athletics is a visible marketing arm of Stony Brook and has the power to bring the community together in ways that are different than other programs.

#### **Alumni and Development**

Established in 1965, the Stony Brook University Alumni Association is a separate, 501(c)(3) organization. The Association plans events and reunions on campus and in 100 chapters and working groups across the country for its more than 180,000 alumni and is approaching its 55<sup>th</sup> Anniversary in 2020. The Stony Brook University Office of Advancement stewards the University's advancement efforts by working closely with faculty and campus leadership to determine needs and to build relationships with friends, foundations, corporations, and alumni to raise funds that advance the University's mission. In 2018 University Advancement completed the largest fundraising campaign in SUNY history, The Campaign for Stony Brook, through which it raised \$630.7 million in private donations from 47,961 friends, corporations, foundations, faculty, staff, and alumni.

Designed to strengthen areas of strategic importance to the University, the focus of the Campaign for Stony Brook was to raise funds for financial aid and endowed chairs and professorships to attract and retain the best students and faculty; for research investments to accelerate productivity and new discoveries; and for interdisciplinary academic programs and facilities. Some of the accomplishments of the Campaign included: 44 newly endowed chairs and professorships across campus, \$52.6 million for financial aid, \$125.9 million for facilities and campus life, and \$209.1 million for research and to create and support academic centers of excellence.

While it is a separate, 501(c) (3) nonprofit organization, the Stony Brook Foundation's 25 employees and the Office of Advancement partner in raising funds to support the mission of Stony Brook University. The Foundation's board of trustees boasts university alumni and friends from a wide variety of backgrounds who are dedicated to the mission and advancement of the University. Every year the Foundation is subject to an independent financial audit, which has been consistently completed without any findings.

#### Tuition, Fees, and Financial Aid

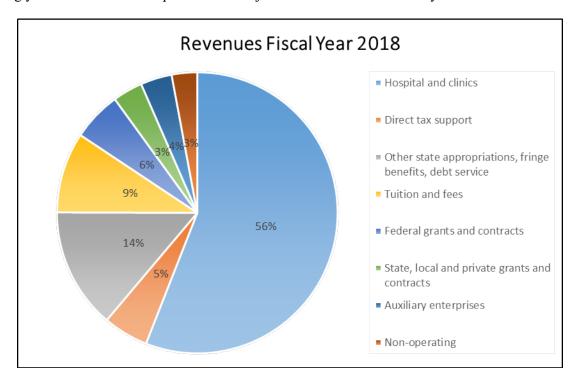
Stony Brook University is among the most affordable high-quality research universities in the country. Instate tuition and required fees for undergraduate students are \$10,175 for the 2019-20 academic year, sixth lowest in the AAU; tuition and required fees for out-of-state undergraduates are \$27,845, second lowest in the AAU. For most graduate students in 2019-20, in-state tuition and required fees are \$13,558 and out-of-state charges are \$25,348. Typical room-and-board charges are \$14,278, with a variety of living arrangements and meal plans from which to choose. Stony Brook University is committed to recognizing and rewarding students' excellence in all areas of learning and research. The institution offers a variety of scholarships to new and continuing students, some of which are based on merit alone and others which consider special talents, leadership, and community service or financial need. Almost six out of ten undergraduates receive grant or scholarship aid, a third of students receive Pell grants, and fewer than four out of ten receive federal loans in any given year. Almost half of bachelor's recipients graduate from Stony Brook with no educational debt.

#### **Finances**

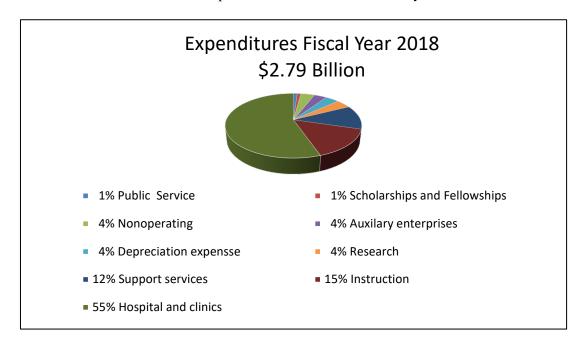
Like most state institutions of higher education, Stony Brook University is operating on a financial model that includes state funds that have been constrained over time relative to the revenue provided from other sources. One of the challenges for the next President is to balance expense growth with new or enhanced revenue streams. In 2007-08 the total university revenue was \$1,655 million with \$802 million or 46% of revenue coming from hospital and clinical operations. In 2017-18 the hospital and clinical operations represent 56% of total revenue at \$1,588 million. This increase is driven by growth at the Stony Brook Hospital and regional clinical operations along with acquisition of regional hospitals.

State tax support appropriated during this 10-year period shrank from \$203 million in 2007-08 to \$148 million in FY12 and has been flat since then. New York State fully funds fringe benefit expenses for state employees and debt service for educational buildings. Offsetting the decrease in state tax support, revenue from net tuition and fees increased from \$118 million to \$264 million. Excluding the revenue from the hospital and clinic, tuition revenue now represents 21% of academic income up from 12% ten years ago, while state tax support has decreased from 22% to 12%. Most of this tuition and fee revenue increase comes from increased enrollment with only modest contribution from tuition rate increases, which are set by the Legislature and the SUNY Board. Growing research revenue is of critical importance and provides an opportunity to enhance finances without reliance on legislative or student support. There have been modest increases in the past three years and enhanced support for growing the research portfolio.

Investments in junior faculty made over the past six years should begin to bring in research revenue in the coming years. The chart below provides the major revenue sources for fiscal year 2017/2018.



Total institutional expenses have grown over the 10-year period with the biggest increases in the hospital and clinical sector. The components of non-hospital expenses have been consistent over the 10-year period. Excluding hospital expenses, support services are down slightly to 27% of academic expenses and instruction is up to 34%. In the academic areas, 80% of all expenses are headcount related. Compensation increases drive the departmental budgets and careful review of positions is required to match revenue to expenses. The chart below breaks out expenditures for the 2017-18 fiscal year.



### **System**

The <u>State University of New York (SUNY)</u> is a system of public institutions of higher education in New York. With 64 college and university campuses located within 30 miles of every home, school, and business in the state, SUNY is the nation's largest comprehensive system of public higher education. It serves more than 1.3 million students annually, employs more than 90,000 faculty and staff, and connects more than three million alumni around the world. It expends \$1.6 billion annually on research, and grants about 96,000 degrees each year. Its annual budget is \$10.7 billion.

SUNY was established in 1948 through legislative implementation of recommendations made by the Temporary Commission on the Need for a State University. SUNY's administrative offices are in Albany, the state's capital, with satellite offices in Manhattan and Washington, D.C.

Dr. Kristina M. Johnson joined The State University of New York as its 13<sup>th</sup> Chancellor in September 2017. Immediately prior to joining SUNY, Dr. Johnson was co-founder and CEO of Cube Hydro Partners, LLC, a clean-energy infrastructure company which, under her leadership, grew to generate enough energy to power 150,000 homes with clean energy. She served as Under Secretary of Energy at the U.S. Department of Energy during the Obama Administration. She had served as Provost at Johns Hopkins University and Dean of the Pratt School of Engineering at Duke University before her appointment as Deputy Secretary.

### **Stony Brook Environment**

The main campus is in the historic north shore hamlet of Stony Brook near the geographic midpoint of Long Island, approximately 60 miles (80 km) east of Manhattan and 67 miles west of Montauk. Located on Long Island's beautiful North Shore, the Stony Brook campus is situated on 1,454 wooded acres, encompassing its 13 schools and colleges; a Research and Development Park; world-class athletics facilities, including an 8,300-seat stadium and a 4,000-seat arena; and Stony Brook Medicine, Long Island's premier academic medical center. The Long Island Rail Road serves the community with the Stony Brook station situated along the northern edge of the campus.

Stony Brook is in the Town of Brookhaven in Suffolk County, New York. Begun in the colonial era as an agricultural enclave, the hamlet experienced growth first as a resort town and then to its current state as one of Long Island's major tourist towns and centers of education. The Three Village Central School District serves Setauket, East Setauket, Stony Brook, Poquott, Head of the Harbor, Old Field, and portions of Port Jefferson, Saint James, and South Setauket. The school district is renowned for its West Prep program, a three-year science research program that produces record numbers of Intel Science Talent Search semifinalists. New York City is readily accessible by the Long Island Railroad and multiple highways that extend from the city through Long Island.

The home of the Stony Brook President, Sunwood, sits on a beautiful site in Old Field with beach access. It is close to running and walking trails, fishing and kayaking sites, and provides a special space for university events.