



**BDA's Annual Fixed Income Retail Roundtable**

**May 8, 2019**

**6:00pm – 8:00pm CT**

Networking Dinner

*Vin de Set*

*2017 Chouteau Avenue, St. Louis, MO 63103*

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**May 9, 2019**

**8:30am – 12:00pm CT**

Retail Fixed Income Roundtable

*Wells Fargo Advisors*

*2701 Market Street, Room 219, St. Louis, MO 63103*

*(Second Floor at the Connections Lobby address)*

8:30am – Welcome and Introductions (Kelli McMorrow & Brett Bolton, BDA)

8:35am – *General Market Conditions and Wells Fargo's Forecast*

Paul Christopher, Head of Global Market Strategy, Wells Fargo Investment Institute

9:15am – *Municipal Market Update and Outlook: Q2 2019*

Dorian Jamison, Municipal Analyst, WFA Advice & Research

10:00am – Break

10:15am – Discussion of Market Issues (John Reilly, Wells Fargo Advisors)

- *Fixed income market structure*
- *End of LIBOR/introduction of SOFR*
- *New marketplace/platform participants*

11:00am - Discussion of Regulatory Issues (Don Winton, Crews & Associates)

- *FINRA Rule 4210*
- *Retail confirmation mark-up disclosures*
- *Last Look/Pennying*
- *Fiduciary Rule/"Reg BI"*
- *FINRA 360 & Report Cards*

11:45am – BDA staff update on D.C. outlook, policy initiatives, upcoming events, etc.

12:00pm - Adjourn